

Docket No. 296473US0X PCT



IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Cornelia BORGMANN, et al.

SERIAL NO: 10/593,330

GAU:

FILED: September 19, 2006

EXAMINER:

FOR: METHOD FOR HYDROFORMYLATING OLEFINS IN THE PRESENCE OF ORGANOPHOSPHORIC COMPOUNDS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Docket No.: 296473US0X PCT	Serial No.: 10/593,330
	Inventor: Cornelia BORGMANN, et al.	
	Filing Date: September 19, 2006	Group:

LIST OF RELATED CASES

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	291685US0XPCT	10/584,148	06/22/06		ORTMANN, et al.
	296473US0XPCT*	10/593,330	09/19/06		BORGMANN, et al.
	288352US0XPCT	10/584, 492	06/22/06		ORTMANN, et al.
	212993US0	6,500,991	12/31/02		WIESE, et al.
	214696US0	6,570,033	05/27/03		RÖTTGER, et al.
	215151US0	6,818,770	11/16/04		SELENT, et al.
	239177US0XPCT	7,109,346	09/19/06		BELLER, et al.
	241321US0XPCT	6,924,389	08/02/05		JACKSTELL, et al.
	246996US0XPCT	7,009,068	03/07/06		SCHMUTZLER, et al.
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	259288US0XDIV	6,956,133	10/18/05		JACKSTELL, et al.
	265774US0XPCT	10/525,376	05/08/06		MOELLER, et al.
	257571US0XPCT	10/508,537	05/12/05	US2005/0209455 A1	BOERNER, et al.

*Present Application; listed for information
NFO/sbs

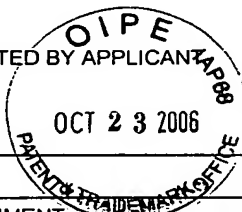
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262605US0XPCT	10/519,557	12/28/04	US2005/0209489 A1	MOLLER, et al.

Examiner

Date Considered

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
296473US0X PCTSERIAL NO.
10/593,330

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT
Cornelia BORGMANN, et al.FILING DATE
September 19, 2006

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,500,991	12/31/02	WIESE, et al.			
	AB	6,570,033	05/27/03	RÖTTGER, et al.			
	AC	6,818,770	11/16/04	SELENT, et al.			
	AD	7,109,346	09/19/06	BELLER, et al.			
	AE	6,924,389	08/02/05	JACKSTELL, et al.			
	AF	7,009,068	03/07/06	SCHMUTZLER, et al.			
	AG	US2004/0236133 A1	11/25/04	SELENT, et al.			
	AH	US2005/0043279 A1	02/24/05	SELENT, et al.			
	AI	US2005/0234270 A1	10/20/05	KAIZIK, et al.			
	AJ	6,956,133	10/18/05	JACKSTELL, et al.			
	AK	US2005/0209455 A1	09/22/05	BOERNER, et al.			
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	AM	US2005/0171371 A1	08/04/05	BORNER, et al.			
	AN	5,093,534	03/03/92	LUDWING, et al.			
	AO	6,331,657	12/18/01	KAIZIK, et al.			
	AP	6,492,564	12/10/02	WIESE, et al.			
	AQ	6,403,837	06/11/02	HESS, et al.			
	AR	US2003/0144559 A1	07/31/03	HESS, et al.			
	AS	6,555,716	04/29/03	PROTZMANN, et al.			
	AT	US2003/0195368 A1	10/16/03	ROTTGER, et al.			
	AU	6,482,992	11/19/02	SCHOLZ, et al.			
	AV	US2004/0236133 A1	11/25/04	SELENT, et al.			
	AW	US2005/0209489 A1	09/22/05	MOLLER, et al.			
	AX						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO
	AY				

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AZ		<input type="checkbox"/> Additional References sheet(s) attached
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Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.